

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5464364
<b>Application Number:</b>	10615796
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1916
<b>Title of Invention:</b>	Methods and apparatus relating to the formulation and trading of investment contracts
<b>First Named Inventor/Applicant Name:</b>	Ian Kenneth Shepherd
<b>Customer Number:</b>	26111
<b>Filer:</b>	Robert E. Sokohl/Delain Crooks
<b>Filer Authorized By:</b>	Robert E. Sokohl
<b>Attorney Docket Number:</b>	2151.0050002
<b>Receipt Date:</b>	05-JUN-2009
<b>Filing Date:</b>	10-JUL-2003
<b>Time Stamp:</b>	15:51:09
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$130
RAM confirmation Number	2148
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)

1		21510050002EOT.pdf	106262 6f9c61c10eda8b5e9c5b577a0159f47c49f7 46ca	yes	2
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>			<b>Start</b>	<b>End</b>	
Miscellaneous Incoming Letter			1	1	
Extension of Time			2	2	
<b>Warnings:</b>					
<b>Information:</b>					
2		21510050002AMENDMENT.pdf	407205 c6b63ceee0eeac5c3e170904268d38d5f61 5814c	yes	12
<b>Multipart Description/PDF files in .zip description</b>					
<b>Document Description</b>			<b>Start</b>	<b>End</b>	
Amendment After Final			1	1	
Claims			2	6	
Applicant Arguments/Remarks Made in an Amendment			7	12	
<b>Warnings:</b>					
<b>Information:</b>					
3	Fee Worksheet (PTO-875)	fee-info.pdf	29822 c023289ff96e39fe91fc877f24623d2fb3a46 eaa	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				543289	

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.